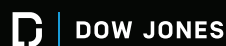
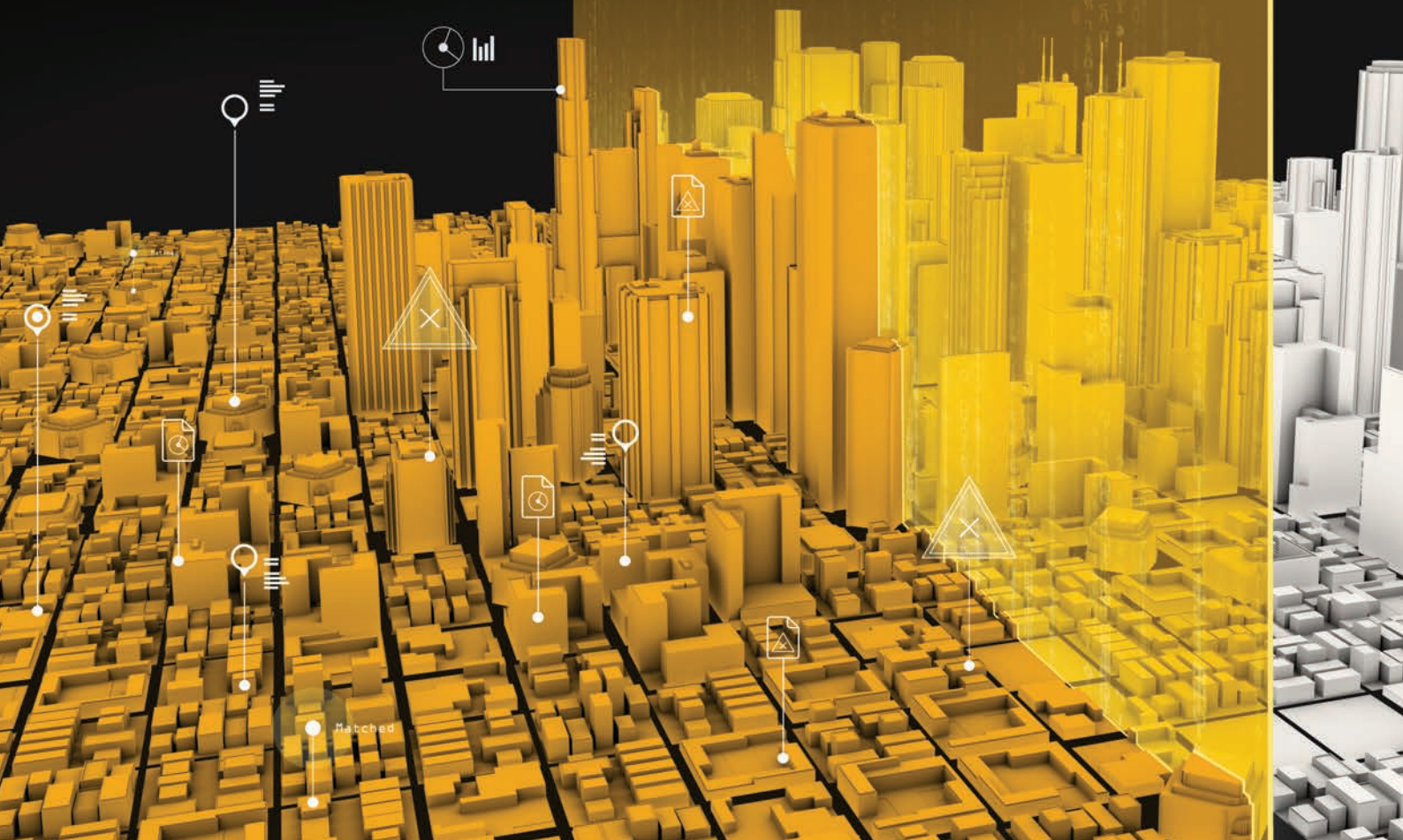




Next Generation AML Technology

- Screening
- eIDV & Onboarding
- Lifecycle Risk Management





Business Overview

KYCGlobal

TECHNOLOGIES  RiskScreen  KYC360

KYC Global Technologies delivers the world's best screening and lifecycle AML risk management solutions to help businesses manage financial crime risk effectively. RiskScreen is the benchmark against which all other AML solutions are judged.

The KYC Global team comprises subject-matter experts and data engineers dedicated exclusively to financial crime prevention technology. Our focus drives our ambition to deliver best of breed AML solutions.

We are trusted to deliver mission-critical technology by over 850 institutional customers across more than 60 highly regulated jurisdictions. Our solutions are transforming our customers' ability to manage AML risk.

RiskTech100 is the most comprehensive independent study of the world's major players in risk and compliance technology.



“ ZEDRA has moved over to RiskScreen from a major competitor and on product and client service there's no comparison between the two”

- Global Head of Compliance, Zedra

Why Financial Institutions Select KYC Global Technologies



Global AML Community

We bring over 30,000 financial crime professionals together on the world's leading AML knowledge platform, KYC360



Reputation

We deliver, and we have the referenceable clients to prove it



Transformational Technology

Our technologies drive process transformation in relation to financial crime risk, delivering operational efficiencies and enhanced risk governance



Partnerships

Our partners reflect on us which is why we select the very best including Refinitiv, Dow Jones and Salesforce



Subject Matter Expertise

AML is in our DNA: no other technology provider in the world has the same level of subject-matter expertise



Awards

We have been recognised as a Top 10 RegTech Solution provider in the Banking CIO Outlook Awards



RiskScreen offers us technological capabilities that we didn't have before which have saved time, enabled better reporting and ensured that we not only meet current standards but exceed them"

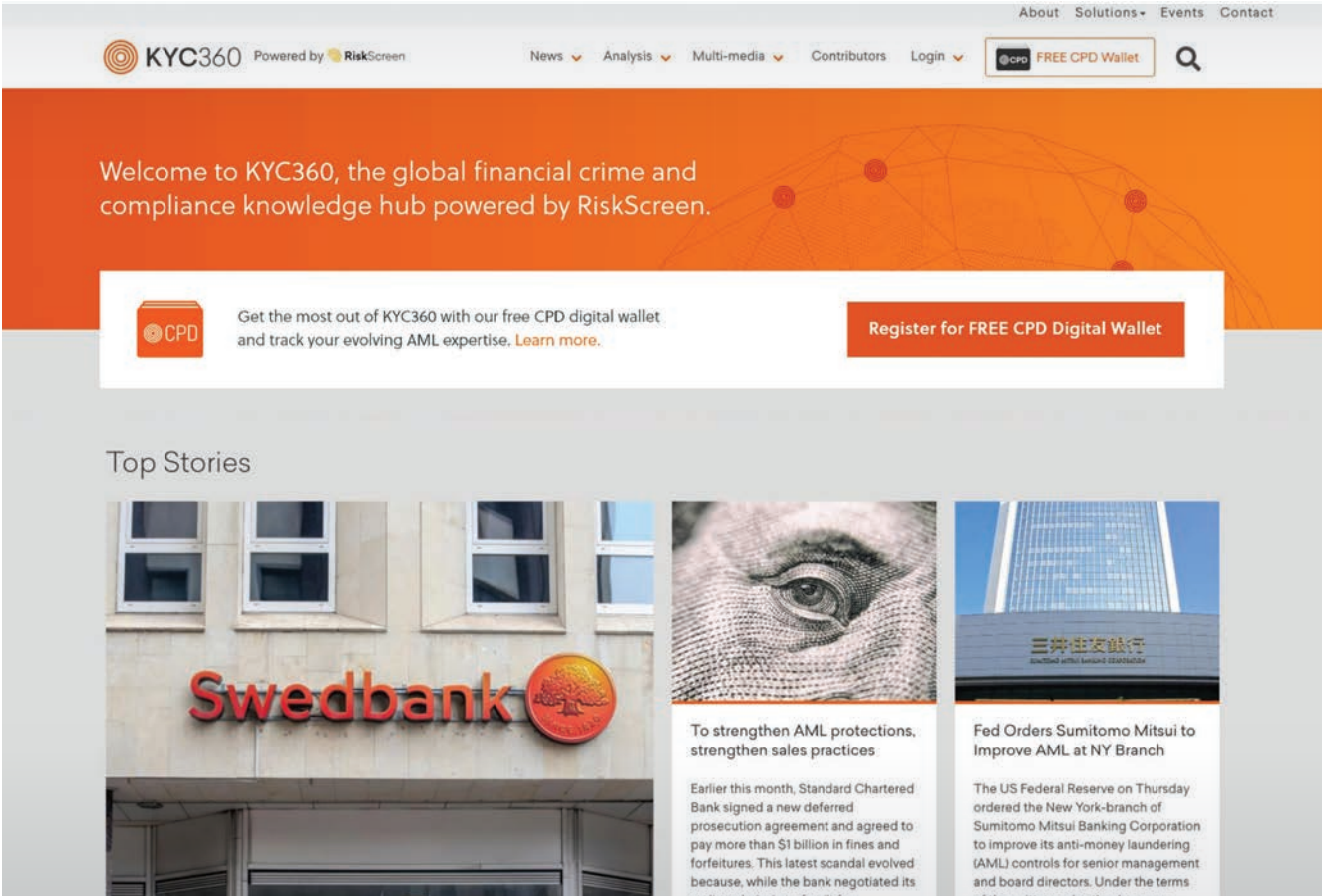
- MLRO, Ravenscroft

Differentiator



A collaborative membership of over 30,000 AML professionals

Our online knowledge hub, KYC360.com, helps inform the specification and development route map of our technologies, and enables us to remain at the forefront of industry AML developments.



Customers

Our customers are drawn from a wide range of industries

Each share an understanding that managing financial crime risk effectively is essential to preserving both their reputation and the interests of their stakeholders.

The logo for ZEDRA, featuring a stylized 'Z' symbol above the word 'ZEDRA' in a bold, sans-serif font.The logo for Ogier, consisting of the word 'Ogier' in a bold, sans-serif font.The logo for BARCLAYS, featuring the Barclays eagle head logo to the left of the word 'BARCLAYS' in a bold, sans-serif font.The logo for HARNEYS, with the word 'HARNEYS' in a bold, sans-serif font enclosed within a thin rectangular border.The logo for Investec, featuring a circular icon with a crosshair to the left of the word 'Investec' in a sans-serif font.The logo for IKEA, consisting of the word 'IKEA' in a bold, sans-serif font.The logo for DOMINION, with the word 'DOMINION' in a bold, sans-serif font.The logo for SKIPTON INTERNATIONAL, featuring a stylized icon of a building or structure above the words 'SKIPTON INTERNATIONAL' in a sans-serif font.The logo for STONEHAGE FLEMING, featuring a stylized icon of a building or structure above the words 'STONEHAGE FLEMING' in a sans-serif font.The logo for TMF GROUP, with the words 'TMF GROUP' in a sans-serif font inside a dark square.The logo for Ravenscroft, featuring a stylized icon of a building or structure to the left of the word 'Ravenscroft' in a sans-serif font.The logo for APEX, featuring a stylized icon of three slanted bars above the word 'APEX' in a sans-serif font.

Partners

REFINITIV® WORLD-CHECK®

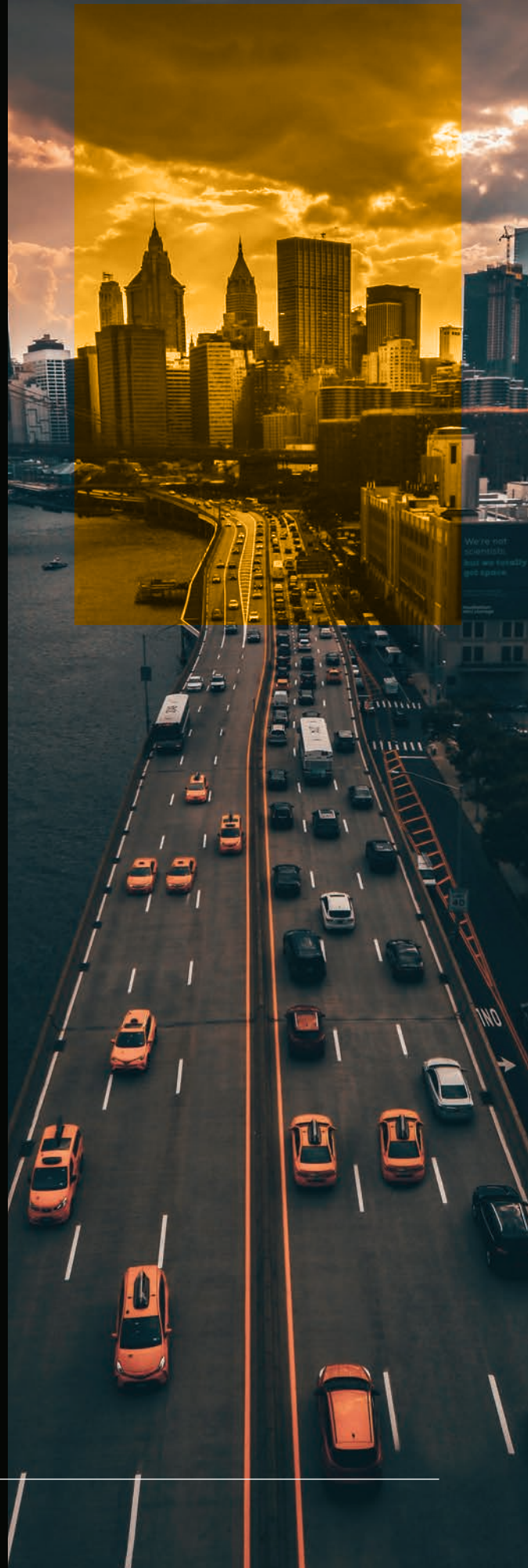
Refinitiv serves more than 40,000 institutions in approximately 190 countries. The World-Check Risk Intelligence database delivers accurate and reliable information to help businesses make informed decisions. It has hundreds of specialist researchers and analysts across the globe, adhering to the most stringent research guidelines as they collate information from reliable and reputable sources - such as watch lists, government records, and media searches.

DOW JONES

Dow Jones is one of the world's largest news organisations and a formidable aggregator of data on financial crime risk. Truly global in coverage and rich in imagery and detail, Dow Jones Risk Data is used by most of the world's largest financial institutions to manage AML risk through the identification of sanctions, sanction ownership profiles, PEPs, SIPs, RCAs and watch lists.

salesforce

Salesforce is the world's largest customer relationship management software provider. It partners with 'best of breed' technology providers to enable Salesforce users to benefit from premium technologies on the Salesforce platform. RiskScreen is available as a fully integrated screening solution, enabling users to screen their customers and manage results within the Salesforce workflow effortlessly.



RiskScreen

RiskScreen is a modular AML solution that provides businesses with maximum optionality to select the screening and lifecycle risk management functionality that best meets their AML requirements

- You only pay for the components you need
- We charge by searches, not seats, so you can have as many users as you like
- Our secure, cloud-based services are ideally suited for remote workers - with on-site options available if preferred

REFINITIV[®]
WORLD-CHECK[®]

 | DOW JONES



ELECTRONIC ID & VERIFICATION

Collect and verify information, proof of ID and documentation from prospects in minutes

- Includes pre-built web portal and mobile app
- Over 93% first-time approval rating
- Document data from over 190 countries
- A single access point to local databases for address verification



ONBOARDING

Integrated eIDV and screening solution for efficient and accurate customer onboarding

- Live AML screening of prospects through web portal and mobile app
- In-line risk rating of prospects
- Multi-stage workflow and MI enabling a 360-degree view of applications in progress
- Secure hosted data warehouse and file transfer options



AD HOC SCREENING

Web-based screening for customers or suppliers at onboarding and periodic reviews

- Searches world-leading Dow Jones AML datasets
- Allows unlimited users
- Live adverse media search engine
- EDD reporting option



BATCH SCREENING

3D risk-based screening of your entire customer/supplier base both overnight and on-demand

- 3D risk-based screening, virtually eliminating false positives
- Dow Jones & Refinitiv World-Check PEP, sanctions & watchlist data
- Ultra-secure on-site, hosted and fully-featured API options
- Superb MI and reporting



LIFECYCLE RISK MANAGEMENT

Modular customer lifecycle risk management functionality

- Live and historic risk and KYC profiling
- Real-time alerts
- Customisable workflow
- Full MI reporting and risk registers



RiskScreen

eIDV & ONBOARDING

Delivering state-of-the-art new client acquisition, RiskScreen OnBoard is the first fully integrated onboarding platform to combine a web portal, workflow, eIDV, AML screening and risk rating in a single turnkey service.

Designed to meet the most demanding use cases, RiskScreen OnBoard enables you to collect information, proof of ID and documentation from prospects via a pre-built, flexible white-label web portal and mobile app. Multi-stage workflow enables your team to view all onboarding journeys and resolve roadblocks in real time, while delivering a frictionless experience to your customers.

Available options:

- **RiskScreen Verify: our stand-alone eIDV solution, with web portal and mobile app**
- **RiskScreen OnBoard: the full turnkey solution, integrating our eIDV and screening tools**

RiskScreen OnBoard can also be integrated with our CLRM software, or can securely transfer data on accepted customers to the system of your choice when onboarding is complete. It can also act as a secure cloud-based data repository for KYC and screening information, and make selected data available to introducers, intermediaries and outsourced compliance clients.

Key Benefits

- **Fully customisable white-label web portal enables you to collect any data items or documents required from your prospects**
- **Integrated electronic identification and verification (eIDV) service for obtaining and checking of passport, driving licence and ID card proofs of identity**
- **Live AML screening of prospects against Dow Jones or World-Check AML datasets for robust sanctions, PEP, watchlist and adverse media analysis**
- **Multi-stage workflow and MI enabling a 360-degree view of applications in progress and options to resolve any issues encountered**
- **In-line risk rating of prospects taking account of geography, product, screening, eIDV and other factors**
- **Secure hosted data warehouse and file transfer options for completion of the onboarding process**

Key Outcomes

- **eIDV technology removes the need for certified ID doc copies in non-face-to-face relationships**
 - **Increased velocity of new customer acquisition from days to minutes, and optimised onboarding process**
 - **Self-service model reduces customer workload and eliminates re-keying of information by your staff**
 - **With RiskScreen OnBoard, pre-integrated customer portal, workflow engine, eIDV, screening and risk rating components are all available as a combined turnkey service, under a single contract**
-



RiskScreen

AD HOC SCREENING

A class leading web-based customer/supplier screening tool for use at onboarding, trigger event and periodic review.

Our ad hoc screening service, RiskScreen Core, integrates the world's most comprehensive Dow Jones global AML data (sanctions and watch lists, PEPs database) with an advanced live adverse media search engine for state-of-the-art investigatory analysis.

By combining a database and live web search

in a single application with consolidated reporting, RiskScreen Core removes the need for users to conduct their own web research, delivering efficiencies.

Uniquely, RiskScreen Core captures underlying web page data preventing the need for users to rely on URLs that become extinct. This helps businesses protect against 'look back' risk.

The open licence model allows unlimited users at no extra cost, removing the need to buy extra 'seats' or share logins.

Key Benefits

- Searches world-leading Dow Jones AML datasets and the web using proprietary technology
- Allows unlimited users at no additional cost
- Live adverse media search engine with web page capture technology
- Fully configurable real-time reports
- Mobile-enabled
- EDD reporting option

Key Outcomes

- Improve client experience at onboarding and review
 - Optimise due diligence processes
 - Socialise AML compliance
 - Accelerate time to revenue
-



RiskScreen

BATCH SCREENING

An industry-leading overnight batch screening solution that enables risk-based screening of all customers/suppliers and delivers a guaranteed reduction in false positive matches.

RiskScreen Batch applies our proprietary 3D risk-based approach to your screening program with innovative metadata on search subjects

that cuts false positives by two-thirds versus major competitors.

RiskScreen Batch offers ultra-secure on-site, hosted, fully-featured API solutions as well as a fully integrated Salesforce app. All options complete with class-leading MI and reporting functionality.

Key Benefits

- 3D risk-based screening
- Virtually eliminates false positive matches
- Full audit capture
- Ultra-secure
- Laser sharp MI and reporting

Key Outcomes

- Significant reduction in false positives resulting in a material saving in compliance costs
- Evidence risk-based overnight screening to regulators
- Accelerate risk-based handling of potential matches
- Materially improve risk governance via real-time reporting of match handling



RiskScreen very quickly proved its worth by identifying true matches that our previous screening solution had not even flagged”

- Pinnacle Trustees, MLRO



RiskScreen

LIFECYCLE RISK MANAGEMENT

RiskScreen's lifecycle risk management module acts as a central engine to drive risk decisions throughout the customer lifecycle - at take on, review, and in response to events and changes in risk profile.

You can aggregate risk metrics from across your business, turn cold information you can't currently utilise into 'hot data' for real-time risk analytics, and set up alerting and reporting.

This eliminates the need for costly and ineffective manual data mining and reliance on spreadsheets. It also drives process optimisation, automating the file review process and removing opportunities for human error.

Delivers razor-sharp MI and reporting that helps eliminate poor governance - one of the most common mechanisms of AML failure.

Key Benefits

- Automation of KYC and AML risk management throughout the customer lifecycle
- Class-leading MI and reporting on individuals, groups, or your entire customer base
- Event-driven alerting and workflow
- Live customer onboarding via API
- Integrates seamlessly with existing administration, payment, CRM and screening systems

Key Outcomes

- Improve client experience at onboarding and review
 - Significantly reduce costs from automation of manual processes
 - Aggregate and digitise customer data
 - Animate risk models across all entity types linking to BRAs
 - Reduce AML and operational risk
 - Materially improve AML risk governance
-



RiskScreen Design Philosophy

There are four key principles which inform the design of all of our technologies. Without exception they must:



Enable better financial crime risk management through the application of automated risk-based processes



Facilitate enhanced risk governance performance through full audit capture and dynamic reporting functionality



Encourage systems stack consolidation and customer/supplier data aggregation



Contribute to the creation of frictionless internal data economies



RiskScreen makes the Onboarding, review and continual screening of our clients effortless. Combined with its ease of use, we are reducing AML risks and delays in detecting compliance concerns”

- Equinox Trustees, Compliance Officer

KYC Global Technologies

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