

AML & FINCRIME TECH FORUM - 05 December 2023

Please note, liable to change ahead of the Forum

TIME	SESSION
08:00	REGISTRATION AND NETWORKING BREAKFAST
08:50	WELCOME AND INTRODUCTION
09:00	<p>PANEL DISCUSSION - The macro trends impacting organisational approaches to Financial Crime.</p> <ul style="list-style-type: none"> • What do you see as the largest macro trends in FinCrime? • How has the cost of financial crime compliance and the global economic slowdown impacted the strategies your teams employ for combatting financial crime? • How can financial crime professionals tackle the crime associated with supply chain volatility? Where can technology aid the fight? • How does information-sharing play into institutions' overall future FinCrime strategies and where might technology aid in this? • Where are the biggest opportunities for data and technology to transform the financial crime compliance landscape? • What are the trends you're seeing with the adoption of tech – such as blockchain, NLP, LLMs, and AI? • Are organisations developing a strategy for the looming mass expansion of AI systems, such as generative AI? <p>Moderator:</p> <ul style="list-style-type: none"> • Denisse Rudich, Senior Policy Advisor, The Sentry <p>Speakers:</p> <ul style="list-style-type: none"> • John Clarke, Head of UK Financial Crime Compliance - Fidelity International • James Godwin, Head of Compliance, CIB, Danske Bank • Helena Wood, Head of Public Policy, CIFAS • Ronya Naim, MLRO/Head of Financial Crime Compliance, Clear Bank
09:50	<p>PRESENTATION – The impact of learning technology on FinCrime culture and conduct</p> <p>Speaker: Paul Coady, Former Global Head of Financial Crime Risk (FCR) Education & Culture</p>
10:10	<p>PRESENTATION - Don't be PEP Paranoid - Rethinking PEP Risk Management</p> <p>Speaker: Mario Menz, Course Director – LL.M Financial Services Law, Regulation & Compliance, London Metropolitan University</p>

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10:30	NETWORKING BREAK
11:10	<p>PANEL DISCUSSION: Diving deep into the nexus of AML efficiency & technology.</p> <ul style="list-style-type: none">• How has the Russia-Ukraine war triggered a change in regulation and how is it affecting AML teams?• How are organisations preparing for the government Economic Crime and Corporate Transparency Bill? What changes need to be made in the way teams operate?• What strategies and technologies can be used to manage the substantial rise in consumer demand for instant services, and the consequential rise in the need for real-time transactional risk assessments?• What are the major ways in which AI can be used to transform the KYC process?• What challenges are teams seeing in relation to digital assets?• What approach should organisations take when using blockchain technology to track transactions? <p>Moderator:</p> <ul style="list-style-type: none">• Sam Sheen, Subject Matter Expert Financial Crime, Council of Europe <p>Speakers:</p> <ul style="list-style-type: none">• Katarina Helde Cook, Head of Financial Crime, MLRO, Brewin Dolphin• Mario Menz, Course Director – LL.M Financial Services Law, Regulation & Compliance, London Metropolitan University• Christopher Kiew-Smith, Head of Global FC Prevention & Digital Assets, Citi
11:55	<p>PRESENTATION – A giant leap in the fight against financial crime: Understanding the Economic Crime & Corporate Transparency Act</p> <p>Speaker: Ben Cooper, Partner and Head of Economic Crime Compliance, TLT LLP</p>
12:15	<p>PANEL DISCUSSION: Balancing changing opportunities and expectations of data-usage in AML & FinCrime</p> <ul style="list-style-type: none">• What are the current dialogues surrounding data usage and management in organisations? What are the biggest challenges being faced by these teams?• How do you drive effective data utilisation and enhancement within your organisation?• With a focus on data analytics in the KYC process, what are the major opportunities for technology to improve efficiency?

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	<ul style="list-style-type: none">• How should organisations balance the need for data privacy with the benefits of information/intelligence sharing? Which AML and FinCrime departments walk the toughest line here?• What impact will generative AI have on organisational strategies when it comes to data?• Looking into 2024, what is the future of data management and what are some technologies that can be used to explain data? How can these be used to comply with AML & FinCrime requirements? <p>Moderator:</p> <ul style="list-style-type: none">• Vivienne Artz, Senior Advisor, AlixPartners <p>Speakers:</p> <ul style="list-style-type: none">• Bernadette Smith, Director of Financial Crime Risk & MLRO, Starling Bank• Anil Gopaul, Head of Economic Crime Innovation, Nationwide Building Society• Stuart Whitby, Managing Director- Global Surveillance Optimisation & Strategy, Barclays
13:00	NETWORKING LUNCH
14:10	<p>PANEL DISCUSSION: The latest strategies and technologies in the fight against evading sanctions.</p> <ul style="list-style-type: none">• What are some of the emerging trends we're seeing in sanctions evasion?• What are the latest regulatory changes impacting the ways in which sanction teams must operate in the UK, EU and more globally?• How has your organisation coped with the changing sanction landscape? What challenges have been particularly persistent?• How have technologies been utilised across the sanctions function in your strategy up to now and where is there room for future implementation – for example AI and ML?• How can financial institutions better understand the parties throughout their entire supply chain? Can technology help better manage this supply chain sanction risk?• What success have organisations had when it comes to combatting the use of digital assets to evade sanctions?• What techniques can be employed to better manage the need for greater real-time sanctions screening?

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	<p>Moderator:</p> <ul style="list-style-type: none">Noémi Klein, FCC & Sanctions Subject Matter Expert, [Independent] <p>Speakers:</p> <ul style="list-style-type: none">Dawn Taylor, Head of Transaction Filtering Products– FinCrime, NatWest GroupIgor Sumkovski, Head of Financial Crime Advisory and DMLRO, China Construction Bank Corporation - London BranchCharlie Greer, Interim Head of UK/EU Trade Sanctions, Citi
14:50	<p>PANEL DISCUSSION: Fraud - where should financial institutions be investing their time and resources?</p> <ul style="list-style-type: none">Looking into 2024, what does the typical fraudster look like, from which direction do they come from and how do they operate?How are organisations preparing for the proposed ‘Failure to Prevent Fraud’ offence in the UK?How are financial institutions balancing the need for faster, real-time transactions and fraud risk management?How should FIs decide when it comes to building vs outsourcing technologies to combat the specific challenges being faced within fraud?What can we learn from case studies of data analytics and AI usage in fraud prevention & detection?Are you seeing any use cases for generative AI in approaches to tackling fraud?How should organisations best approach collaboration with law enforcement agencies when it comes to combatting fraud? <p>Moderator:</p> <ul style="list-style-type: none">Amber Burridge, Head of Fraud Intelligence, CIFAS <p>Speakers:</p> <ul style="list-style-type: none">Oliver Little, Detective Superintendent, Head of National Coordination and Lead Force Operations Room, City of London Police.Tom Littlechild, International Lead Counsel, Financial Crimes, Wells FargoGaon Hart, Managing Director, Legal Advisory Worldwide
15:30	NETWORKING BREAK

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16:00	<p>PANEL DISCUSSION - The path to perpetual KYC: where are organisations struggling with onboarding customers and what still needs to be done?</p> <ul style="list-style-type: none">- What are the most significant problems with the current state of KYC management throughout the client lifecycle in organisations?- What are the key opportunities and challenges with pKYC? Do you have any examples of pKYC transformations that have taken place?- What should you look for in a pKYC technology partner and how do you manage expectations in this relationship as you look to the long-term?- From a customer perspective, what are the key expectations when it comes to the onboarding process? How do you prioritise this relationship with your customers?- What challenges remain for organisations once they have made the switch to a pKYC system? Where are criminals still managing to slip through the net? <p>Moderator:</p> <ul style="list-style-type: none">• Marta Lia Requeijo, Chief Compliance Officer & Group MLRO, BVNK <p>Speakers:</p> <ul style="list-style-type: none">• Grace Ogedengbe, Head of Financial Crime Operations Europe, Bank ABC• Alia Cooper, Head of Client Lifecycle Management Strategy, HSBC• Georgios Kormaris, Director (Regional Lead - West) - Fintech & Crypto Advisory, Standard Chartered Bank
16:40	CLOSING REMARKS
16:45	NETWORKING RECEPTION
18:00	END OF SUMMIT