

TIME	Keynote Stream -			
08:00-08:50 [50 mins]	REGISTRATION AND NETWORKING BREAKFAST			
08:50-09:00 [10 mins]	WELCOME AND INTRODUCTION			
09:00-09:15 [15 mins]	KEYNOTE ADDRESS			
09:15-10:00 [45mins]	KEYNOTE PANEL DISCUSSION: Compliance Leaders' Vision: A Roadmap for Regulatory Agility in the Age of RegTech Discussion points: <ol style="list-style-type: none"> What regulatory challenges are currently top of mind and what operational adjustments are required to address these challenges? How are financial institutions leveraging new technologies to effectively address the constantly evolving regulatory frameworks? What kind of technology ecosystems should FIs leverage to improve the effectiveness of their compliance activities? What are the latest criteria for selecting RegTech partners? How are FIs adapting to the exponential growth in data sources and ensuring compliance? 			
10:00-10:20 [20 mins]	KEYNOTE PRESENTATION			
10:20-10:55 [35 mins]	NETWORKING BREAK			
	Stream A–	Stream B -		Stream C –
10:55-11:15 [20 mins]	PRESENTATION: Cross-Border Data Sharing: Collaboration or Complication?	10:55-11:05 [20 mins]	PRESENTATION: UK open banking regulation	Product Demos
11:15-11:55 [40 mins]	PANEL DISCUSSION - Optimising Client Onboarding to Gain Competitive Edge Discussion points: <ol style="list-style-type: none"> How can firms balance the need for a premium customer experience with the stringent requirements of AML and KYC regulations during onboarding? What are some common pitfalls in current onboarding processes, and how can they be addressed? What are the specific challenges financial institutions face in speeding up the onboarding process? 	11:15-11:55 [40 mins]	PANEL DISCUSSION - Electronic Communication Surveillance Discussion points: <ol style="list-style-type: none"> Given the rapid pace of technological change, how can institutions ensure their surveillance infrastructure remains both current and secure? In what ways is AI transforming the effectiveness and efficiency of surveillance? With the increasing need for global monitoring, how are FIs handling the challenges of cross-border surveillance and varying data protection laws? 	

	4. What measures are in place to ensure that reduced onboarding time does not compromise the thoroughness of due diligence? 5. How can FIs best utilise open-source intelligence to complement traditional data sources in KYC practices?		4. What recent cases of surveillance failures have had significant impacts on FIs, and what were the lessons learned? 5. Conversely, what are some examples of successful surveillance strategies that have effectively prevented or detected financial misconduct?	
11:55-12:15 [20 mins]	PRESENTATION	11:55-12:15 [20 mins]	PRESENTATION	
12:15-12:55 [40 mins]	PANEL DISCUSSION - Predictive Compliance Models: A Gamechanger? Suggested discussion points: <ol style="list-style-type: none"> How does data quality impact the predictive capabilities? What can be done to ensure high standards? What is the anticipated role of predictive analytics in achieving real-time regulatory compliance? How will advancements in AI and data transformation facilitate this shift towards immediacy in compliance monitoring and reporting? What are the potential challenges and risks associated with predictive analytics? 	12:15-12:55 [40 mins]	PANEL DISCUSSION - How to Achieve Greater Effectiveness in Transaction Monitoring Discussion points: <ol style="list-style-type: none"> How are financial institutions transitioning to an entity-centric approach in transaction monitoring? What benefits does this offer over traditional methods? In what ways does AI and ML complement traditional rules-based systems? How do FIs ensure they check AI biases effectively? How can FIs shift focus from merely chasing false positives to proactively fighting financial crime with the tools available today? What opportunities are you seeing for blockchain technology? What challenges do FIs face when balancing the adoption of innovative transaction monitoring technologies with regulatory requirements? 	
12:55-14:00 [1hr 5 mins]	NETWORKING LUNCH			
Stream A		Stream B		Stream C –
14:00-14:40 [40 mins]	PANEL DISCUSSION - Regulatory Reporting: Adapting to the Ever-Increasing Focus on Data Quality, Report Completeness, and Accuracy Discussion points: <ol style="list-style-type: none"> What are the best practices for data management to meet the heightened standards for regulatory reporting? How can institutions ensure data integrity and consistency across different reporting frameworks? Can technology solutions, such as AI and machine learning, play a role in enhancing data quality and report accuracy? How can financial institutions address the challenges of report completeness and timeliness in the face of ever-increasing data volumes? 	14:00-14:40 [40 mins]	Panel Discussion - The Realities for Regulators: Priorities for Conversations Around RegTech in 2024 <ol style="list-style-type: none"> What issues are top-of-mind for the regulators in 2024? How are conversations around RegTech adoption typically framed? What technologies have the most transformative potential for increasing compliance across the board? Which technologies are regulators most concerned about? Over the next 5 years, where should the financial services sector concentrate efforts for innovation? 	Product Demos

	5. What strategies can be implemented to ensure that no critical data is overlooked or misreported?			
14:40-15:00 [20 mins]	PRESENTATION	14:40-15:00 [20 mins]	PRESENTATION	
15:00-15:40 [40 mins]	PANEL DISCUSSION: Technology to Enhance Risk Management in Times of Disruption Discussion points: <ol style="list-style-type: none"> What emerging risks are becoming more prominent in the financial sector? What proactive measures can be implemented now to prepare for future market disruptions or disasters? How can technology help in streamlining risk management processes? How are AI and machine learning being employed to enhance risk detection and response? What are the best practices for integrating risk management into the daily operations of FIs? What strategies are effective in fostering a risk-aware culture? 	15:00-15:40 [40 mins]	Panel Discussion - The Future of Data Compliance: Navigating Privacy, Governance, and Regulatory Concerns Discussion points: <ol style="list-style-type: none"> How are financial institutions currently coping with the privacy challenges of data compliance? How is the increased demand and availability of data (e.g., through AI / ML adoption) impacting strategies in protection / compliance? What data governance tools are top-of-mind for compliance teams when implementing data democratization strategies? How should firms mitigate third-party risks to data compliance? As organizations look to the future, what major changes should teams expect in data compliance over the next 3 years? 	
15:40-16:05 [25 mins]	NETWORKING BREAK			
TIME	Stream A -			
16:05-16:25 [20 mins]	PRESENTATION: Digital Assets			
16:25-17:05 [40 mins]	PANEL DISCUSSION: The Evolving Nature of AI and Its Impact on Regulatory Compliance Discussion points: <ol style="list-style-type: none"> What compliance tasks are currently being transformed most by AI and ML? How are teams viewing the potential of generative AI and LLMs? What are the key risks and opportunities here? How do you approach integrating new AI tools into your existing systems / workflows? What role should human oversight have in this relationship? What direction do you see AI adoption taking over the next 3 years? Does this excite you or scare you? 			
17:05	CLOSING REMARKS			
17:05-18:00 [55 mins]	NETWORKING RECEPTION			
18:00	END OF SUMMIT			