

TIME					Keynote Stream -					
08:00-09:00 [60 mins]					REGISTRATION AND NETWORKING BREAKFAST					
09:00 -09:10 [10 mins]					WELCOME AND INTRODUCTION Speaker: Richard Sachar, Director, FinTech Global					
09:10-10:00 [50 mins]					KEYNOTE PANEL DISCUSSION – The Compliance Leaders’ Vision: A Roadmap for Regulatory Agility in the Age of RegTech Discussion points: <ol style="list-style-type: none"> 1. What regulatory challenges are currently top of mind and what operational adjustments are required to address these challenges? 2. How are financial institutions leveraging new technologies to effectively address the constantly evolving regulatory frameworks? 3. What kind of technology ecosystems should FIs leverage to improve the effectiveness of their compliance activities? 4. What are the latest criteria for selecting RegTech partners? 5. How are financial institutions adapting to the exponential growth in data sources and ensuring compliance? Moderator: Deborah Young, CEO, The RegTech Association Speakers: Ian Hollowbread, Chief Operating Officer – Digital Innovation, ING Colin Ngan, Chief Compliance Officer, Klarna Marili Anderson, Chief Compliance Officer UK & Europe, William Blair Chris Linde, Chief Compliance & Conduct Officer, NAB					
10:00-10:20 [20 mins]					KEYNOTE PRESENTATION: How to achieve Regulatory Compliance in the Cloud Speaker: Felicitas Coulibaly, Senior Product Marketing Manager - Financial Services EMEA, Snowflake Mercy Kanengoni, Principal EMEA Program Lead, Customer Trust, Snowflake					
10:20-10:40 [20 mins]					KEYNOTE PRESENTATION Speaker: Michael Talbert, Head of Professional Services, Behavox					
10:40-11:15 [35 mins]					NETWORKING BREAK					
					Stream A-		Stream B -		Stream C –	
11:15-11:35 [20 mins]					PRESENTATION - Changing the De-Banking and De-Risking Game: Breakthroughs in Risk Management for Cross-Border Payments		11:15-11:35 [20 mins]		PRESENTATION - The RegTech Association – Industry Perspectives 2023 Product Demos	

	Speaker: Julia Marina, Chief Compliance Officer & General Counsel, Payall Payment Systems		Speaker: Deborah Young, CEO, The RegTech Association
11:35-12:15 [40 mins]	PANEL DISCUSSION - Optimising Client Onboarding to Gain Competitive Edge Discussion points: <ol style="list-style-type: none"> How can firms balance the need for a premium customer experience with the stringent requirements of AML and KYC regulations during onboarding? What are some common pitfalls in current onboarding processes, and how can they be addressed? What are the specific challenges financial institutions face in speeding up the onboarding process? How can financial institutions best utilise open-source intelligence and the rapid pace of technology advancements to complement traditional data sources in KYC practices? Moderator: Alia Cooper, Head of Client Lifecycle Management Strategy – Wholesale, HSBC Speakers: Santosh Reyes, Founder and Managing Director, DLT Apps Zahra Gill, Financial Crime Strategy and Change Lead, Starling Bank	11:35-12:15 [40 mins]	PANEL DISCUSSION - Electronic Communication Surveillance Discussion points: <ol style="list-style-type: none"> Given the rapid pace of technological change, how can institutions ensure their surveillance infrastructure remains both current and secure? In what ways is AI transforming the effectiveness and efficiency of surveillance? With the increasing need for global monitoring, how are institutions handling the challenges of cross-border surveillance and varying data protection laws? What recent cases of surveillance failures have had significant impacts on firms, and what were the lessons learned? Conversely, what are some examples of successful surveillance strategies that have effectively prevented or detected financial misconduct? Moderator: Imran Akhtar, Former Global Head of RegTech, [Independent] Speakers: Anish Kalraiya, Head of Surveillance UK, Crédit Agricole CIB Rob Mason, Director of Regulatory Intelligence, Global Relay
12:15-12:55 [40 mins]	PANEL DISCUSSION – Implementing Effective Regulatory Change Management in 2024 <ol style="list-style-type: none"> How is the cost of compliance impacting the regulatory change management (RCM) space? What impact is this having on the rest of your organization? What are the biggest obstacles that organizations are currently seeing when it comes to effective RCM? What opportunities does technology hold for transforming RCM activities in financial institutions? What fundamental requirements do you need to think about when implementing technology into your activities? How can organisations better measure and assess the impact of regulatory changes on their business? How far away is the industry from implementing concrete predictive capabilities in regulatory change management? Moderator: Sian Lewin, Regulatory and Compliance Expert, The Reg Doctor	12:15-12:55 [40 mins]	PANEL DISCUSSION - How to Achieve Greater Effectiveness in Transaction Monitoring Discussion points: <ol style="list-style-type: none"> How are financial institutions transitioning to an entity-centric approach in transaction monitoring? What benefits does this offer over traditional methods? In what ways does AI and ML complement traditional rules-based systems? How do FIs ensure they check AI biases effectively? How can FIs shift focus from merely chasing false positives to proactively fighting financial crime with the tools available today? What opportunities are you seeing for blockchain technology? What challenges do FIs face when balancing the adoption of innovative transaction monitoring technologies with regulatory requirements? Moderator: Meagan Birch, Chief Compliance Officer and MLRO, strategiae

	<p>Speakers: Rik Appleby, Head of Digital Compliance & Conduct, NatWest Group Alex Gerald, VP – Regulatory Strategy, BNY Mellon</p>		<p>Speakers: Colin Whitmore, Financial Crime Strategy, Innovation and Design Lead, NatWest Group Tania Amin Mohammad, Head of Audit for CFCC Governance, Compliance Frameworks, Fraud and Anti Bribery Corruption, Standard Chartered Bank Igor Sumkovski, Head of Financial Crime Advisory and DMLRO, China Construction Bank Corporation London Branch</p>	
12:55-14:00 [1hr 5 mins]	NETWORKING LUNCH			
Stream A		Stream B		Stream C –
14:00-14:40 [40 mins]	<p>PANEL DISCUSSION - Optimising Regulatory Reporting: Adapting to the Ever-Increasing Focus on Data Quality, Report Completeness, and Accuracy</p> <p>Discussion points:</p> <ol style="list-style-type: none"> 1. What are the best practices for data management to meet the heightened standards for regulatory reporting? 2. How can institutions ensure data integrity and consistency across different reporting frameworks? 3. Can technology solutions, such as AI and machine learning, play a role in enhancing data quality and report accuracy? 4. How can financial institutions address the challenges of report completeness and timeliness in the face of ever-increasing data volumes? 5. What strategies can be implemented to ensure that no critical data is overlooked or misreported? <p>Moderator: Avinash Shamdasani, Global Head of Trade and Transaction Reporting, BGC Group</p> <p>Speakers: Eleanor Kelly, Global Head Regulatory Change and Control – Markets, J.P. Morgan Chase & Co. Michael Talbert, Head of Professional Services, Behavox Nick Turner, Director of Regulatory Reporting, Monzo</p>	14:00-14:40 [40 mins]	<p>Panel Discussion - The Realities for Regulators: Priorities for RegTech in 2024</p> <ol style="list-style-type: none"> 1) What issues are top-of-mind for the regulators in 2024? 2) How are conversations around RegTech adoption typically framed? 3) What technologies have the most transformative potential for increasing compliance across the board? 4) Which technologies are regulators most concerned about? 5) Over the next 5 years, where should the financial services sector concentrate efforts for innovation? <p>Moderator: Denisse Rudich, Founder and Executive Director, Rudich Advisory</p> <p>Speakers: Josephine Roy, Global Head - Payments Regulatory Developments & Engagement, J.P. Morgan Shothana Begum, Head of Data and Strategy, FCA Alan Blanchard, Business Development Director, TSO</p>	Product Demos
14:40-15:00 [20 mins]	<p>PRESENTATION: Risk Frameworks for the 21st Century</p> <p>Speaker: Gemma Clatworthy, Head of Strategic Risk, Monzo</p>	14:40-15:00 [20 mins]	<p>PRESENTATION - The Management of Regulatory Waves: Five Areas of Conflicts or Synergies</p> <p>Speaker: Åke Freij, Research fellow, Stockholm School of Economics</p>	

<p>15:00-15:40 [40 mins]</p>	<p>PANEL DISCUSSION: Technology to Enhance Risk Management in Times of Disruption</p> <p>Discussion points:</p> <ol style="list-style-type: none"> 1. What emerging risks are becoming more prominent in the financial sector? 2. What proactive measures can be implemented now to prepare for future market disruptions or disasters? 3. How can technology help in streamlining risk management processes? 4. How are AI and machine learning being employed to enhance risk detection and response? 5. What are the best practices for integrating risk management into the daily operations of FIs? 6. What strategies are effective in fostering a risk-aware culture? <p>Moderator: Vinita Ramtri, Head of Conduct and Controls, NatWest</p> <p>Speakers: Marina Antoniou, Global Head of Risk and Control Assessment, BNP Paribas Erik Morgan, Group Financial Crime Prevention, Lead Global Risk Model, SEB Bank</p>	<p>15:00-15:40 [40 mins]</p>	<p>Panel Discussion - The Future of Data Compliance: Navigating Privacy, Governance, and Regulatory Concerns</p> <p>Discussion points:</p> <ol style="list-style-type: none"> 1) How are financial institutions currently coping with the privacy challenges of data compliance? 2) How is the increased demand and availability of data (e.g., through AI / ML adoption) impacting strategies in protection / compliance? 3) What data governance tools are top-of-mind for compliance teams when implementing data democratization strategies? 4) How should firms mitigate third-party risks to data compliance? 5) As organizations look to the future, what major changes should teams expect in data compliance over the next 3 years? <p>Moderator: Michael Rasmussen, GRC Analyst and Pundit, GRC 20/20 Research & GRC Report</p> <p>Speakers: Christine Drabble, UK Head of Compliance & MLRO, Transwap Group Lisa Walker, Head of Compliance, UK & Middle East, ING</p>	
<p>15:40-16:05 [25 mins]</p>	<p>NETWORKING BREAK</p>			
<p>TIME</p>	<p>Stream A -</p>			
<p>16:05-16:45 [40 mins]</p>	<p>PANEL DISCUSSION: The Evolving Nature of AI and Its Impact on Regulatory Compliance</p> <p>Discussion points:</p> <ol style="list-style-type: none"> 1. What compliance tasks are currently being transformed most by AI and ML? 2. How are teams viewing the potential of generative AI and LLMs? What are the key risks and opportunities here? 3. How do you approach integrating new AI tools into your existing systems / workflows? 4. What role should human oversight have in this relationship? 5. What direction do you see AI adoption taking over the next 3 years? Does this excite you or scare you? <p>Moderator: Tom Lambert, Partner, LocalGlobe</p> <p>Speakers: Lucette Yvernault, Head of Systematic Fixed Income, Fidelity International Camillia Zedan, Head of External Surveillance Modelling, Deutsche Bank Kate Marechal, Group Director of Operational Risk and Monitoring, Shawbrook Bank</p>			

17:05	CLOSING REMARKS Speaker: Richard Sachar, Director, FinTech Global
17:05-18:00 [55 mins]	NETWORKING RECEPTION
18:00	END OF SUMMIT